



1646

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Complete if Known

Application Number	09/509,196
Filing Date	March 23, 2000
First Named Inventor	DALY
Group Art Unit	1646
Examiner Name	Olga N. Chernyshev
Attorney Docket Number	1871-129

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

REMARKS:

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APR 08 2002
TECH CENTER 1600/2900

SUBMITTED BY		Complete (if applicable)			
NAME AND REG. NUMBER	Barbara G. Ernst, Registration No. 30,377				
SIGNATURE		DATE	April 3, 2002	DEPOSIT ACCOUNT USER ID	02-2135

FEE TRANSMITTAL
for FY 2001
(Large Entity)



Complete if Known

Application Number	09/509,196
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First Named Inventor	DALY
Examiner Name	Olga N. Chernyshev
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Total Amount of Payment (\$110.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status
2. ☐ Payment Enclosed
- ☐ Check
- ☐ Credit Card

FEE CALCULATION

1. FILING FEE

Fee Code	Fee \$	Fee Description	Fee Paid
101	740	Utility filing fee	[]
106	330	Design Filing Fee	[]
107	510	Plant Filing Fee	[]
108	740	Reissue Filing Fee	[]
114	160	Provisional Filing Fee	[]

SUBTOTAL \$

2. CLAIMS

Paid	Extra Claims	Fee	Fee
Total Claims	[] - 20** = []	x	\$18 = []
Independent Claims	[] - 3** = []	x	84 = []
Multiple Dependent Claims	+	280 = []	

**or number previously paid, if greater;

SUBTOTAL \$

04/04/2002 HGBREM1 00000096 022135 09509196

01 FC:115 110.00 CH

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Fee Paid	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	[]
127	50	Surcharge - late provisional filing fee or cover sheet	[]
139	130	Non-English specification	[]
147	2,520	For filing a request for reexamination	[]
112	920	Requesting publication of SIR prior to Examiner action	[]
113	1,840*	Requesting publication of SIR after Examiner action	[]
115	110	Extension for reply within first month	[110.00]
116	400	Extension for reply within second month	[]
117	920	Extension for reply within third month	[]
118	1,440	Extension for reply within fourth month	[]
128	1,960	Extension for reply within fifth month	[]
119	320	Notice of Appeal	[]
120	320	Filing a brief in support of an appeal	[]
121	280	Request for Oral Hearing	[]
138	1,510	Petition to institute a public use proceeding	[]
140	110	Petition to revive -unavoidable	[]
141	1,280	Petition to revive - unintentional	[]
142	1,280	Utility issue fee (or reissue)	[]
143	460	Design issue fee	[]
144	620	Plant issue fee	[]
122	130	Petitions to the Commissioner	[]
123	50	Processing fee under 37 CFR 1.17(q)	[]
126	180	Submission of Information Disclosure Statement	[]
581	40	Recording each patent assignment per property (times number of properties)	[]
146	740	Filing a submission after final rejection (37 CFR 1.129(a))	[]
149	740	For each additional invention to be examined (37 CFR 1.129(b))	[]
179	740	Request for Continued Examination (RCE)	[]
169	900	Request for expedited examination of a design application	[]
195	300	Publication fee for early, voluntary, or normal publication	[]
196	300	Publication fee for republication	[]
089	200	Filing application for patent term adjustment	[]
090	400	Request for reinstatement of term reduced	[]
Other fee (specify)			[]

* Reduced by Basic Filing Fee Paid

SUBTOTAL

\$110.00

SUBMITTED BY		Complete (if applicable)			
NAME AND REG. NUMBER	Barbara G. Ernst, Reg. No. 30,377				
SIGNATURE	Barbara G. Ernst	DATE	April 3, 2002	DEPOSIT ACCOUNT USER ID	02-2135



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	Application Number	09/509,196
	Filing Date	March 23, 2000
	First Named Inventor	DALY
	Group Art Unit	1646
	Examiner Name	Olga N. Chernyshev
	Attorney Docket Number	1871-129
Title: A POTENTIAL EFFECTOR FOR THE Grb7 FAMILY OF SIGNALLING PROTEINS		

14/C
J.G.J
4/10/02
CAJ

AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Office Action dated December 3, 2001, please amend the above-identified U.S. patent application as follows:

IN THE CLAIMS:

Please amend claims 1, 5 and 6 as shown on the following pages, cancel claims 2- 4, and add new claims 19-21.

Marked-up copies of the original text of the amended claims are attached to this amendment. Material inserted is indicated by underlining (insertion) and material deleted is indicated by bracketing ([deletion]).

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